



NORTH YORKSHIRE HEALTH AND WELLBEING BOARD

FUNDING TRANSFER FROM NHS ENGLAND TO SOCIAL CARE – 2013/14

29 NOVEMBER 2013

1. Purpose

- 1.1 The purpose of this report is to update the Health and Wellbeing Board in relation to Health and Social Care monies available in 2013/14 and the conditions associated with drawing down this money.
- 1.2 To provide outline details of proposed spend in 2013/14, as required by Health England, to secure sign off from the Health and Wellbeing Board.

2. Background

- 2.1 For the 2013/14 financial year, NHS England has made available £859m nationally to transfer to Social Care. Each local authority has a specific allocation and for North Yorkshire County Council's this is £8,674,471.
- 2.2 The funding is required to be used to support adult social care services, which also has a health benefit. However, beyond this broad condition NHS England is prepared to allow flexibility on how this investment is best used.
- 2.3 However in order to secure this resource, NHS England require, the local authority to agree with health partners how the funding is best used within social care and the outcomes expected from the investment. The expectation is that the Health and Wellbeing Board will be the forum for discussions between the Area Teams, CCGs and local authorities.
- 2.4 Once these plans have been agreed this funding will be released by NHS England via section 256/257 of the NHS Act 2006. In turn the local authority has the powers under section 75 of the NHS Act 2006 to make payments for services to CCGs or local Health Boards.
- 2.5 The local authority is required, so far as practical, to ensure that the payment is used in the most efficient and effective way possible and must prepare a Memorandum of Agreement as detailed in Annex 1 to this report.

- 2.6 In addition, following the end of the financial year, a voucher detailing the expenditure must be prepared by 30 September and submitted to NHS England by no later than 31 December. The form of the annual voucher is detailed at Annex 2 to this report.
- 2.7 The conditions surrounding the funding are not new however they are a tightening on the previous reporting arrangements and reflect the new governing bodies within the NHS.

3. Key Issues

- 3.1 To enable NYCC to draw down the funding in 2013/14 an analysis of the spending plans is required. The service analysis, as required by NHS England is detailed below;

Category of Spend	Total (£)
Community Equipment	
Telecare	
Integrated crisis & rapid response services	512,733
Maintaining eligibility criteria	1,400,000
Reablement services	5,600,000
Bed based intermediate care services	
Early Supported hospital discharge schemes	1,985,826
Mental Health services	
Other preventative services	230,000
Other social care	191,500
Total	9,962,059

- 3.2 The majority of the proposals contained within this schedule represent a continuation of existing schemes previously considered and approved by NYCC in conjunction with North Yorkshire and York PCT commissioners. It is worth stating that these sums are estimates based on a combination of contractual commitments and current schemes in the pipeline. They currently exceed the annual allocation as the funding is being carried forward from previous years.
- 3.3 The Health and Wellbeing board will receive a further report in February 2014 outlining the proposals for the Integrated Transformation Fund and some of these schemes will form part of the future proposals.

4. Recommendations

- 4.1 Health and Wellbeing Board is asked to;
- 4.2 Note the contents of this report and in particular the governance arrangements for the transfer of funds from NHS to local authorities.
- 4.3 Note and agree the categories of spend, as detailed in the body of the report, to enable the funding of £8.6m to be drawn down.
- 4.4 To receive further reports detailing the outcomes from these proposals and arrangements in relation to the Integrated Transformation Fund for 2014/15 and beyond.

Helen Taylor
Corporate Director – Health and Adult Services
12 November 2013

Report author:
Debbie Hogg,
Assistant Director, Strategic Resources - Health and Adult Services

ANNEX 1 – Memorandum of agreement

The following memorandum of agreement must be used as required by direction 3(b).

Memorandum of agreement

Section 256 or 257 transfer

Reference number:

Title of Scheme:

(the reference number and title of the scheme should give a unique identification of the scheme)

1. How will the section 256 or 257 transfer secure more health gain than an equivalent expenditure of money on the National Health Service?
2. Description of scheme (in the case of revenue transfers, please specify the services for which money is being transferred).

Financial details (and timescales)

3. Total amount of money to be transferred and amount in each year (if this subsequently changes, the memorandum must be amended and re-signed).

Year(s)	Amount	Capital	Revenue
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In the case of the capital payments, should a change of use outlined in direction 4(1)(b) of the National Health Service (Conditions Relating to Payments by NHS bodies to Local Authorities) Directions 2013 occur, both parties agree that the original sum shall be recoverable by way of a legal charge on the Land Register as outlined in direction 4(4) of those Directions.

4. Please state the evidence you will use to indicate that the purposes described at questions 1 and 2 have been secured.

Signed : for the Board/clinical commissioning group

..... Position

..... Date

Signed : For local authority / other recipient body

..... Position

..... Date

ANNEX 2 – Annual voucher and certificate for auditors

The following annual voucher must be used as required by direction 5(1).

Section 256 or 257 Annual Voucher

.....Council

PART 1 STATEMENT OF EXPENDITURE FOR THE YEAR 31 MARCH 20.. (YEAR)

(if the conditions of the payment have been varied, please explain what the changes are and why they have been made)

Scheme Reference Number Revenue Expenditure Capital Total and Title of Expenditure

Project £££

PART 2 STATEMENT OF COMPLIANCE WITH CONDITIONS OF TRANSFER

I certify that the above expenditure has been incurred in accordance with the conditions, including any cost variations, for each scheme approved by the Board/clinical commissioning group in accordance with these Directions.

Signed:

Date:

Director of finance or responsible officer of the recipient (see paragraph 5(3) of the Directions).

Certificate of independent auditor

I/We have:

- examined the entries in this form (which replaces or amends the original submitted to me/us by the authority dated)* and the related accounts and records of the and
- carried out such tests and obtained such evidence and explanations as I/we consider necessary.

(Except for the matters raised in the attached qualification letter dated)* I/we have concluded that

- the entries are fairly stated: and
- the expenditure has been properly incurred in accordance with the relevant terms and conditions.

Signature

Name (block capitals)

Company/Firm

Date

* Delete as necessary